## <u>REMARKS</u>

The applicants have added 6 claims and cancelled 6 claims. The applicants authorize the PTO to charge to Deposit Account No. 03-2775 for the fee for the extra independent claim over three. If there are any additional fees due in connection with the filing of this amendment, the applicants authorize the PTO to charge to Deposit Account No. 03-2775. A prompt and favorable action is solicited.

Respectfully submitted,

CONNOLLY BOVE LODGE & HUTZ LLP

Bv

Ashley I/Pezzner Reg. No. 35,646

Tel. (302) 888-6270

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: ELLEN M. DOBRUSIN, ET AL.

**EXAMINER: T. TRUONG** 

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PAPER NO.

FOR: BICYCLIC PYRIMIDINES AND

**BICYCLIC 3,4-DIHYDROPYRIMIDINES** 

AS INHIBITORS OF CELLULAR

**PROLIFERATION** 

Commissioner for Patents Washington, D.C. 20231

### **AMENDMENT**

Dear Sir:

Please amend the above-identified application as follows:

#### In the Claims:

Please amend claims 54, 56 and 60 as follows:

54. (Amended) A compound of Formula I

or a pharmaceutically acceptable salt thereof,

wherein:

the dotted line represents an optional double bond;

W is NH, S, SO, or SO<sub>2</sub>;

X is either O, S, or NR<sup>10</sup>;

R<sup>1</sup>, R<sup>2</sup>, and R<sup>10</sup> are independently selected from the group consisting of H, (CH<sub>2</sub>)<sub>n</sub>Ar, COR<sup>4</sup>, (CH<sub>2</sub>)<sub>n</sub>heteroaryl, (CH<sub>2</sub>)<sub>n</sub>heterocyclyl, C<sub>1</sub>-C<sub>10</sub> alkyl, C<sub>3</sub>-C<sub>10</sub>

cycloalkyl, C<sub>2</sub>-C<sub>10</sub> alkenyl, and C<sub>2</sub>-C<sub>10</sub> alkynyl, wherein n is 0, 1, 2, or 3, and the (CH<sub>2</sub>)<sub>n</sub>Ar, (CH<sub>2</sub>)<sub>n</sub>heteroaryl, alkyl, cycloalkyl, alkenyl, and alkynyl groups are optionally substituted by up to 5 groups selected from NR<sup>4</sup>R<sup>5</sup>, N<sup>+</sup>(O)R<sup>4</sup>R<sup>5</sup>, N<sup>+</sup>R<sup>4</sup>R<sup>5</sup>R<sup>6</sup>Y<sup>-</sup>, alkyl, phenyl, substituted phenyl, (CH<sub>2</sub>)<sub>n</sub>heteroaryl, hydroxy, alkoxy, phenoxy, thiol, thioalkyl, halo, COR<sup>4</sup>, CO<sub>2</sub>R<sup>4</sup>, CONR<sup>4</sup>R<sup>5</sup>, SO<sub>2</sub>NR<sup>4</sup>R<sup>5</sup>, SO<sub>3</sub>R<sup>4</sup>, PO<sub>3</sub>R<sup>4</sup>, aldehyde, nitrile, nitro, heteroaryloxy,

$$OR^{5}$$
 $\mid$ 
 $T(CH_{2})_{m}QR^{4}$ ,  $T(CH_{2})_{m}C$ - $(CH_{2})_{m}QR^{4}$ ,

 $C(O)T(CH_2)_mQR^4$ , NHC(O)T(CH<sub>2</sub>)<sub>m</sub>QR<sup>4</sup>, T(CH<sub>2</sub>)<sub>m</sub>C(O)NR<sup>4</sup>NR<sup>5</sup>, [or] and T(CH<sub>2</sub>)<sub>m</sub>CO<sub>2</sub>R<sup>4</sup> wherein each m is independently 1-6, T is O, S, NR<sup>4</sup>, N<sup>+</sup>(O)R<sup>4</sup>, N<sup>+</sup>R<sup>4</sup>R<sup>6</sup>Y<sup>-</sup>, or CR<sup>4</sup>R<sup>5</sup>, and Q is O, S, NR<sup>5</sup>, N<sup>+</sup>(O)R<sup>5</sup> or N<sup>+</sup>R<sup>5</sup>R<sup>6</sup>Y<sup>-</sup>;

and additionally alkyl, alkenyl and alkynyl can be further substituted with one to three cycloalkyl groups,

when the dotted line is present, R<sup>3</sup> is absent;

otherwise  $R^3$  has the meanings of  $R^2$ , wherein  $R^2$  is as defined above, as well as OH,  $NR^4R^5$ ,  $COOR^4$ ,  $OR^4$ ,  $CONR^4R^5$ ,  $SO_2NR^4R^5$ ,  $SO_3R^4$ ,  $PO_3R^4$ ,

$$T(CH_2)_mQR^4$$
, or  $OR^5$ 
 $|$ 
 $T(CH_2)_mC$ - $(CH_2)_mQR^4$ ,

wherein T and Q are as defined above;

 $R^4$  and  $R^5$  are each independently selected from the group consisting of hydrogen,  $C_1$ - $C_6$  alkyl, substituted alkyl,  $C_2$ - $C_6$  alkenyl,  $C_2$ - $C_6$  alkynyl,  $N(C_1$ - $C_6$ alkyl)<sub>1 or 2</sub>,  $(CH_2)_n$ Ar,  $C_3$ - $C_{10}$  cycloalkyl, heterocyclyl, and

heteroaryl, or R<sup>4</sup> and R<sup>5</sup> together with the nitrogen to which they are attached optionally form a ring having 3 to 7 carbon atoms and said ring optionally contains 1, 2, or 3 heteroatoms selected from the group consisting of nitrogen, substituted nitrogen, oxygen, and sulfur;

when R<sup>4</sup> and R<sup>5</sup> together with the nitrogen to which they are attached form a ring, the said ring is optionally substituted by 1 to 3 groups selected from OH,

 $\begin{array}{l} {\rm OR^4,\,NR^4R^5,\,(CH_2)_mOR^4,\,(CH_2)_mNR^4R^5,\,T\text{-}(CH_2)_mQR_4,} \\ {\rm CO\text{-}T\text{-}(CH_2)_mQR^4,\,NH(CO)T(CH_2)_mQR^4,\,T\text{-}(CH_2)_mCO_2R^4,\,[or]\,\,\underline{and}} \\ {\rm T(CH_2)_mCONR^4R^5;} \end{array}$ 

R<sup>6</sup> is alkyl;

 $R^8$  and  $R^9$  independently are H,  $NR^4R^5$ ,  $N^+(O)R^4R^5$ ,  $N^+R^4R^5R^6Y^-$ ,  $COR^4$ ,  $CO_2R^4$ ,  $CONR^4R^5$ ,  $SO_2NR^4R^5$ ,  $SO_3R^4$ ,  $PO_3R^4$ , CN or nitro;

when the dotted line is absent,  $R^9$  can additionally be = NOH,

= NOalkyl, =NOalkenyl, =NOalkynyl or =NOcycloalkyl; and

Y is a halo counter-ion;

with the proviso that: (a) when  $R^8$  and  $R^9$  are both hydrogen, W is NH,  $R^1$  is hydrogen and X is  $NR^{10}$ , then  $R^{10}$  is neither unsubstituted ( $C_1$ - $C_{10}$ ) alkyl, unsubstituted [( $C_1$ - $C_{10}$ )] ( $C_2$ - $C_{10}$ ) alkynyl;

- (b) when R<sup>8</sup> or R<sup>9</sup> is NR<sup>4</sup>R<sup>5</sup>, N<sup>+</sup>(O)R<sup>4</sup>R<sup>5</sup>, or N<sup>+</sup>R<sup>4</sup>R<sup>5</sup>R<sup>6</sup> Y<sup>-</sup>, then one or more of R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> must be, independent of the nitrogen to which said one or more R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> are attached, heterocyclic or heteroaryl; and
- (c) when  $R^8$  or  $R^9$  is  $COR^4$ ,  $CO_2R^4$ ,  $CONR^4R^5$ ,  $SO_2NR^4R^5$ ,  $SO_3R^4$  or  $PO_3R^4$ , then one or more of  $R^4$ ,  $R^5$  and  $R^6$  must be, independent of the nitrogen to which said one or more  $R^4$ ,  $R^5$  and  $R^6$  are attached,  $(CH_2)_n$  aryl wherein n is zero, 1, 2 or 3, heterocyclic or heteroaryl;
- (d) when X is S and W is NH, then at least one of [R1, R2, R3, R8 and R9]  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^8$  and  $R^9$  is other than H or  $C_1$ - $C_3$  alkyl.
- 56. (Amended) A compound of Claim 55 having the formula

$$R^{1} - N \qquad N \qquad N \qquad 0$$

$$R^{2}$$

[wherein:

 $R^1$  and  $R^2$  independently are hydrogen,  $C_1$ - $C_{10}$  alkyl,  $(CH_2)_n$ Ar,  $(CH_2)_n$ heteroaryl,  $C_3$ - $C_{10}$  cycloalkyl, or  $(CH_2)_n$  heterocyclyl, wherein n is 0, 1, 2 or 3, and the  $(CH_2)_n$ Ar,  $(CH_2)_n$ heteroaryl, alkyl, cycloalkyl and  $(CH_2)_n$  heterocyclyl groups are optionally substituted by up to 5 groups selected from  $NR^4R^5$ ,  $N^+(O)R^4R^5$ ,  $N^+R^4R^5R^6Y^-$ , alkyl, phenyl, substituted phenyl,  $(CH_2)_n$ heteroaryl, hydroxy, alkoxy, phenoxy, thiol, thioalkyl, halo,  $COR^4$ ,  $CO_2R^4$ ,  $CONR^4R^5$ ,  $SO_2NR^4R^5$ ,  $SO_3R^4$ ,  $PO_3R^4$ , aldehyde, nitrile, nitro, heteroaryloxy,  $T(CH_2)_mQR^4$ ,

 $C(O)T(CH_2)_mQR^4$ ,

NHC(O)T(CH<sub>2</sub>)<sub>m</sub>QR<sup>4</sup>, T(CH<sub>2</sub>)<sub>m</sub>C(O)NR<sup>4</sup>NR<sup>5</sup>, or T(CH<sub>2</sub>)<sub>m</sub>CO<sub>2</sub>R<sup>4</sup> wherein each m is independently 1-6, T is O, S, NR<sup>4</sup>, N<sup>+</sup>(O)R<sup>4</sup>, N<sup>+</sup>R<sup>4</sup>R<sup>6</sup>Y<sup>-</sup>, or CR<sup>4</sup>R<sup>5</sup>, and Q is O, S, NR<sup>5</sup>, N<sup>+</sup>(O)R<sup>5</sup>, or N<sup>+</sup>R<sup>5</sup>R<sup>6</sup>Y<sup>-</sup>;

R<sup>3</sup> has the meanings of R<sup>2</sup>, wherein R<sup>2</sup> is as defined above, as well as OH, NR<sup>4</sup>R<sup>5</sup>, COOR<sup>4</sup>, OR<sup>4</sup>, CONR<sup>4</sup>R<sup>5</sup>, SO<sub>2</sub>NR<sup>4</sup>R<sup>5</sup>, SO<sub>3</sub>R<sup>4</sup>, PO<sub>3</sub>R<sup>4</sup>,

$$\begin{array}{c} \text{OR}^5 \\ \mid \\ \text{T(CH}_2)_m \text{QR}^4, \, \text{T(CH}_2)_m \text{C-(CH}_2)_m \text{QR}^4, \\ \mid \\ \text{H} \end{array}$$

wherein T and Q are as defined above;

 $R^4$  and  $R^5$  are each independently selected from the group consisting of hydrogen,  $C_1$ - $C_6$  alkyl, substituted alkyl,  $C_2$ - $C_6$  alkenyl,  $C_2$ - $C_6$  alkynyl,  $N(C_1$ - $C_6$ alkyl)<sub>1</sub> or 2,  $(CH_2)_n$ Ar,  $C_3$ - $C_{10}$  cycloalkyl, heterocyclyl, and

heteroaryl, or R<sup>4</sup> and R<sup>5</sup> together with the nitrogen to which they are attached optionally form a ring having 3 to 7 carbon atoms and said ring optionally contains 1, 2, or 3 heteroatoms selected from the group consisting of nitrogen, substituted nitrogen, oxygen, and sulfur;

when  $R^4$  and  $R^5$  together with the nitrogen to which they are attached form a ring, the said ring is optionally substituted by 1 to 3 groups selected from OH,  $OR^4$ ,  $NR^4R^5$ ,  $(CH_2)_mOR^4$ ,  $(CH_2)_mNR^4R^5$ , T- $(CH_2)_mQR_4$ , CO-T- $(CH_2)_mQR^4$ ,  $NH(CO)T(CH_2)_mQR^4$ , T- $(CH_2)_mCO_2R^4$ , or  $T(CH_2)_mCONR^4R^5$ ;  $R^6$  is alkyl; and

Y is a halo counter-ion].

# 58. (Amended) A pharmaceutical formulation comprising a compound of [compound of] Formula I

or a pharmaceutically acceptable salt thereof, wherein:

the dotted line represents an optional double bond; W is NH, S, SO, or SO<sub>2</sub>;

X is either O, S, or NR<sup>10</sup>;

 $R^1$ ,  $R^2$ , and  $R^{10}$  are independently selected from the group consisting of H,  $(CH_2)_nAr$ ,  $COR^4$ ,  $(CH_2)_n$ heteroaryl,  $(CH_2)_n$ heterocyclyl,  $C_1$ - $C_{10}$  alkyl,  $C_3$ - $C_{10}$  cycloalkyl,  $C_2$ - $C_{10}$  alkenyl, and  $C_2$ - $C_{10}$  alkynyl, wherein n is 0, 1, 2, or 3, and the  $(CH_2)_nAr$ ,  $(CH_2)_n$ heteroaryl, alkyl, cycloalkyl, alkenyl, and alkynyl groups are optionally substituted by up to 5 groups selected from  $NR^4R^5$ ,  $N^+(O)R^4R^5$ ,  $N^+R^4R^5R^6Y^-$ , alkyl, phenyl, substituted phenyl,  $(CH_2)_n$ heteroaryl, hydroxy, alkoxy, phenoxy, thiol, thioalkyl, halo,  $COR^4$ ,  $CO_2R^4$ ,  $CONR^4R^5$ ,  $SO_2NR^4R^5$ ,  $SO_3R^4$ ,  $PO_3R^4$ , aldehyde, nitrile, nitro,

heteroaryloxy,  $T(CH_2)_mQR^4$ ,

OR<sup>5</sup>

$$T(CH_2)_mC-(CH_2)_mQR^4$$
,

 $C(O)T(CH_2)_mQR^4$ , NHC(O)T(CH<sub>2</sub>)<sub>m</sub>QR<sup>4</sup>, T(CH<sub>2</sub>)<sub>m</sub>C(O)NR<sup>4</sup>NR<sup>5</sup>, [or] <u>and</u>  $T(CH_2)_mCO_2R^4$  wherein each m is independently 1-6, T is O, S, NR<sup>4</sup>, N+(O)R<sup>4</sup>, N+R<sup>4</sup>R<sup>6</sup>Y-, or CR<sup>4</sup>R<sup>5</sup>, and Q is O, S, NR<sup>5</sup>, N+(O)R<sup>5</sup> or N+R<sup>5</sup>R<sup>6</sup>Y-;

and additionally alkyl, alkenyl and alkynyl can be further substituted with one to three cycloalkyl groups,

when the dotted line is present, R<sup>3</sup> is absent; otherwise R<sup>3</sup> has the meanings of R<sup>2</sup>, wherein R<sup>2</sup> is as defined above, as well as OH, NR<sup>4</sup>R<sup>5</sup>, COOR<sup>4</sup>, OR<sup>4</sup>, CONR<sup>4</sup>R<sup>5</sup>, SO<sub>2</sub>NR<sup>4</sup>R<sup>5</sup>, SO<sub>3</sub>R<sup>4</sup>, PO<sub>3</sub>R<sup>4</sup>,

$$OR^{5}$$

$$|$$

$$T(CH_{2})_{m}QR^{4}, \underline{or} T(CH_{2})_{m}C-(CH_{2})_{m}QR^{4},$$

$$|$$

$$|$$

$$|$$

$$|$$

$$|$$

wherein T and Q are as defined above;

 $R^4$  and  $R^5$  are each independently selected from the group consisting of hydrogen,  $C_1$ - $C_6$  alkyl, substituted alkyl,  $C_2$ - $C_6$  alkenyl,  $C_2$ - $C_6$  alkynyl,  $N(C_1$ - $C_6$ alkyl)<sub>1</sub> or 2,  $(CH_2)_n$ Ar,  $C_3$ - $C_{10}$  cycloalkyl, heterocyclyl, and

heteroaryl, or R<sup>4</sup> and R<sup>5</sup> together with the nitrogen to which they are attached optionally form a ring having 3 to 7 carbon atoms and said ring optionally contains 1, 2, or 3 heteroatoms selected from the group consisting of nitrogen, substituted nitrogen, oxygen, and sulfur;

when  $R^4$  and  $R^5$  together with the nitrogen to which they are attached form a ring, the said ring is optionally substituted by 1 to 3 groups selected from OH,  $OR^4$ ,  $NR^4R^5$ ,  $(CH_2)_mOR^4$ ,  $(CH_2)_mNR^4R^5$ , T- $(CH_2)_mQR_4$ ,

CO-T-(CH<sub>2</sub>)<sub>m</sub>QR<sup>4</sup>, NH(CO)T(CH<sub>2</sub>)<sub>m</sub>QR<sup>4</sup>, T-(CH<sub>2</sub>)<sub>m</sub>CO<sub>2</sub>R<sup>4</sup>, [or] and T(CH<sub>2</sub>)<sub>m</sub>CONR<sup>4</sup>R<sup>5</sup>;

R<sup>6</sup> is alkyl;

 $R^8$  and  $R^9$  independently are H,  $NR^4R^5$ ,  $N^+(O)R^4R^5$ ,  $N^+R^4R^5R^6Y^-$ ,  $COR^4$ ,  $CO_2R^4$ ,  $CONR^4R^5$ ,  $SO_2NR^4R^5$ ,  $SO_3R^4$ ,  $PO_3R^4$ , CN or nitro;

when the dotted line is absent,  $R^9$  can additionally be = NOH,

= NOalkyl, =NOalkenyl, =NOalkynyl or =NOcycloalkyl; and

Y is a halo counter-ion;

with the proviso that: (a) when  $R^8$  and  $R^9$  are both hydrogen, W is NH,  $R^1$  is hydrogen and X is  $NR^{10}$ , then  $R^{10}$  is neither unsubstituted ( $C_1$ - $C_{10}$ ) alkyl, unsubstituted [( $C_1$ - $C_{10}$ )] ( $C_2$ - $C_{10}$ ) alkenyl nor unsubstituted [( $C_1$ - $C_{10}$ )] ( $C_2$ - $C_{10}$ ) alkynyl; and

- (b) when R<sup>8</sup> or R<sup>9</sup> is NR<sup>4</sup>R<sup>5</sup>, N<sup>+</sup>(O)R<sup>4</sup>R<sup>5</sup>, N<sup>+</sup>R<sup>4</sup>R<sup>5</sup>R<sup>6</sup>Y<sup>-</sup>, COR<sup>4</sup>, CO<sub>2</sub>R<sup>4</sup>, CONR<sup>4</sup>R<sup>5</sup>, SO<sub>2</sub>NR<sup>4</sup>R<sup>5</sup>, SO<sub>3</sub>R<sup>4</sup> or PO<sub>3</sub>R<sup>4</sup>, then one or more of R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> must be, independent of the nitrogen to which said one or more of R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> is attached, (CH<sub>2</sub>)<sub>n</sub>aryl wherein n is zero, 1, 2, or 3, heterocyclic or heteroaryl;
- (c) when X is S and W is NH, then at least one of [R1, R2, R3, R8 and R9]  $\mathbb{R}^1$ ,  $\mathbb{R}^2$ ,  $\mathbb{R}^3$ ,  $\mathbb{R}^8$  and  $\mathbb{R}^9$  is other than H or  $\mathbb{C}_1$ - $\mathbb{C}_3$  alkyl;

in combination with a pharmaceutically acceptable carrier, diluent, or excipient.

The terms bracketed are canceled from the claims and the terms underlined are added to the claims. See Appendix 1 for a clean copy of the claims.

#### **REMARKS**

The applicants have corrected clerical errors with respect to claims 54, 56 and 58. If there are any additional fees due in connection with the filing of this amendment, the applicants authorize the PTO to charge to Deposit Account No. 03-2775.

A prompt and favorable action is solicited.

Respectfully submitted,

CONNOLLY BOVE LODGE & HUTZ LLP

Reg. No. 35,646 Tel. (302) 888-6270

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